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Ref. No.6982 dated April 06, 2026

COMPANY STANDARD

Ulba Metallurgical Plant Joint Stock Company

APPROVED BY:

Acting Quality Director

/signature/ A. Korchuganov

March 19, 2026

PROCEDURE OF RELATIONS BETWEEN UMP JSC AND CONTRACTORS

ST 28.0021-24

(to replace ST 28.0021-19)

Valid until February 26, 2029

Oreder No. 513 dated April 01, 2026

DEVELOPED BY:

Director-Technical Preparation

/signature/ V. Shott

February 02, 2026

Director-Production Safety

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February 25, 2026

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January 29, 2026

Ust-Kamenogorsk

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1 Scope

This Standard specifies the common procedure of relations between Ulba Metallurgical Plant Joint Stock Company (hereinafter – “UMP”) and the contractors, including the procedure of contracting for the purchase of works and services (hereinafter - the “Subcontract”), access of the contractors to the UMP’s site, inbound and outbound transportation of equipment and tools of contractors, and also the procedure of development and approval of the projects for construction and installation works.

The provisions of this Standard, with the exception of Section 7, may be applied when performing contract works/services.

Potential contractors are familiarized with this Standard, which is posted on the official website of UMP JSC, on page “About Us/Corporate Governance/Corporate Documents/Rules and Instructions,” during the review of tender documentation. The Head of the contracting company assumes responsibility for compliance with requirements of this Standard during the performance of the Contract.

2 Regulatory References

The following regulatory documents shall be required for the application of this Standard. The specified revision of the regulatory document shall be used for the dated references, and the latest revision of the referenced document (including any amendments) shall be used for undated references.

- 2.1 Labor Code of the Republic of Kazakhstan No. 414-V RKL dated November 23, 2015;
- 2.2 Law of the Republic of Kazakhstan On Anti-Corruption No.410-V dated November 18, 2015;
- 2.3 SN RK 1.03-05-2011 Occupational Health and Safety in Construction;
- 2.4 SP RK 1.03-106-2012 Occupational Health and Safety in Construction;
- 2.5 ST NAC 5.0.1-2024 Occupational Safety Standards System. Requirements for Contractors in the Field of Industrial Safety;
- 2.6 ST NAC 5.0.6-2023 Unified System for Occupational Safety Management;
- 2.7 SN RK 1.03-00 Construction Engineering. Arrangement of the Construction of Facilities, Buildings and Structures;
- 2.8 Instruction on Occupational Health and Industrial Safety No. 18 24 on the Procedure for Developing the OHS and Industrial Safety Section of the Industrial Safety Status Report of NAC Kazatomprom JSC;
- 2.9 Policy of UMP JSC on Anti-Corruption and Fraud Management approved by the decision of the absentia meeting of the Board of Directors of UMP JSC, Minutes No. 20 dated December 27, 2024;
- 2.10 ST 14.0003 Occupational Health and Safety Management System. Risk Assessment;
- 2.11 ST 20.0007 Terms and Definitions;
- 2.12 I 19.0008: Instructions on Access Control at Ulba Metallurgical Plant JSC.
- 2.13 I 14.0010 Procedure of the Identification and Updating of Environmental Aspects;
- 2.14 I 14.0025 Procedure of Communication of EMS and OH&S MS Requirements to Organizations Operating on the Territory of UMP JSC;
- 2.15 FI 16.0001 Procedure for Accounting for Repair Costs of Fixed Assets in UMP JSC Departments.
- 2.16 I 18.0008 Procedure of Accounting, Management and Storage of Contracts (Agreements);
- 2.17 I 18.0023 Procedure of Preparation and Reception of Delegations at Ulba Metallurgical Plant JSC;

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2.18 I 32.0005 Procurement of Raw Materials, Reagents, Equipment, Energy Carriers, Works and Services by the UMP JSC Divisions;

2.19 I 19.0003 Procedure of Property Carrying through Entry Check Point of Guarded Security Perimeter of UMP JSC;

2.20 I 19.0010 Procedure of Pass Control at UMP JSC Facilities not Subject to Guarding by State Guard;

2.21 Regulatory document of the Office of the Industrial Safety Director (OISD RD) No.14.006 Fire Safe Procedures for Hot Works at UMP JSC;

2.22 RD OH&S No. 14.024 Procedure for Organizing and Safely Performing Hazardous Operations at UMP JSC;

2.23 OISD RD No. 14.026 Procedure for Organizing and Conducting Training in the Field of Fire Safety and Workers Access for Independent Works.
Section 1, 2 (**Amended version, Rev. No. 1**).

3 Definitions and Abbreviations

Terms and abbreviations in accordance with ST 20.0007, abbreviations in accordance with the Management Structure of UMP JSC and the following terms, definitions and abbreviations are used in this Standard:

- 3.1 **Remediation:** A set of measures to eliminate environmental damage by restoring or reproducing the component of the natural environment that has been damaged, or, if the environmental damage is wholly or partially irreparable, by replacing that component of the natural environment.
- 3.2 **OHS:** Occupational Health and Safety.
- 3.3 **LW:** Liquid waste.
- 3.4 **Customer:** UMP JSC.
- 3.5 **Inc.:** Incident.
- 3.6 **ECP:** Entry Check Point.
- 3.7 **NC:** Normal conditions of the environmental aspects.
- 3.8 **CC&RD:** Capital Construction & Reconstruction Department.
- 3.9 **ES:** Environmental Safety.
- 3.10 **OHSD:** Department of Occupational Health and Safety.
- 3.11 **PP&SD:** Physical Protection and Security Department.
- 3.12 **BSA:** Behavioral Safety Audit.
- 3.13 **CHD:** A department of UMP JSC, the holder of the contract for performance of work/provision of services.
- 3.14 **SWC:** UMP JSC subdivision, owner of works/services.
- 3.15 **OSM SS:** "Occupational Safety Management" Software System.
- 3.16 **Contractor:** A physical or legal person that performs work or provides services under a contract for services and/or a government contract.
- 3.17 **WPP:** Work performance project.
- 3.18 **OS:** Occupational Safety.
- 3.19 **FS:** Fire Safety.
- 3.20 **Occupational safety:** FS, OS, ES, OHS, RS.
- 3.21 **RS:** Radiation Safety.
- 3.22 **HW:** Hazardous Work.
- 3.23 **PPE:** Personal Protection Equipment.
- 3.24 **CIW:** Construction and installation works.

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3.25 **Subcontractor:** A specialized contractor engaged by the general contractor under a contract to perform specific types of construction, specialized construction, repair, or installation work at a construction site.

3.26 **SW:** Solid waste.

Sections 1-4 (Amended version, Rev. No. 2).

4 Selection of the Contractor

The Contractor for performance of works/rendering services at the Customer's specific site shall be selected according to I 32.0005 in accordance with the applications of UMP JSC subdivisions.

5 Requirements to the Contract

5.1 The relationship between the Customer and the Contractor in the field of industrial safety shall be established in the Subcontract by including a relevant section or appendix to the contract.

The Subcontract text shall contain section with the following content:

- a) "In the course of execution of works/services at the Customer's territory, the Contracting company shall be responsible for observation of requirements of occupational health and safety and the environmental management system of UMP JSC, stipulated in I 14.0025."
- b) "The Contractor performing work at a radiation-hazardous facility shall renew its nuclear license in a timely manner. If the license expires, the Contractor shall not be able to perform the work. The Customer shall have the right to suspend the Contractor's work upon revocation/expiration of the license."
- c) "When organizing construction, the Contractor shall be guided in its activities by the requirements of the Regulatory Documents of the Republic of Kazakhstan (the Labor Code of the Republic of Kazakhstan, SN RK (the Construction Standards of the Republic of Kazakhstan) and SP RK (the Construction Code of the Republic of Kazakhstan)) and the principles of priority of process safety, preservation of the health and life of workers, and compliance with the requirements of the legislation of the Republic of Kazakhstan in the field of industrial safety."
- d) "The Contractor shall undertake to prevent injuries and comply with the requirements of the legislation of the Republic of Kazakhstan in the field of industrial safety, occupational health and safety, fire safety and environmental protection when working on the Customer's premises and facilities."
- e) "The Contractor shall carry out its activities subject to the availability of all permits (licenses, certificates, admission certificates, approvals, etc.) required by law and issued by authorized state bodies."
- f) "The Contractor, when providing services or work at an operating radiation-hazardous facility, or at a radiation-hazardous facility under construction, subject to the presence of warranty obligations to eliminate defects after the launch of the radiation-hazardous facility, shall be required to hold the relevant licenses in the field of the use of atomic energy, in accordance with the Law of the Republic of Kazakhstan 'On Permits and Notifications'."
- g) Any Contractor performing works/providing services at UMP JSC site shall have a valid compulsory workers' compensation insurance policy covering employees against accidents occurring while performing their work (official) duties.

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Subdivision responsible for the Subcontract conclusion shall take into account the requirements to competence of the Contractor's personnel, taking into account the requirements of 6.8 ST NAC 5.0.1-2024.

The Subcontract shall include:

a) the Contractor's obligations and rights in accordance with 6.3.1, 6.9, 6.10 of ST NAC 5.0.1-2024, the Customer's rights in accordance with 6.3.5 of ST NAC 5.0.1-2024, as well as the requirements of Section 8 of ST NAC 5.0.1-2024;

b) the Contractor's obligations to provide report on Information on Production Safety Condition in Contracting Companies, executed according to Annex H ST NAC 5.0.6-2023, on monthly basis, before the 1st day of each month following the reporting month.

(Amended version, Revision No. 2)

5.2 Procedure for accounting, management and storing of original copies of contracts and additional agreements to them, paper copies of electronic contracts, as well as procedure of electronic contracts management shall be in accordance with I 18.0008.

5.1, 5.2 **(Amended version, Rev. No. 1).**

5.3 Subdivision responsible for the Subcontract conclusion shall send notification of its conclusion to SWC no later than two business days after the Subcontract signing. CHD shall enter the information on conclusion of contract with the Contractor to OSM SS (company name, UMP JSC subdivision where works will be performed, contract subject, number, term of works/services performance under the contract).

In case when term of CIW performance expired, but works are in progress and/or additional agreement is concluded, then CHD shall enter corresponding changes to OSM SS.

(Amended version, Revision No. 2)

6 Contractor and Subcontractor Clearance Procedure for Entering the UMP JSC's Protected Area

The Contractor clearance procedure for entering the UMP JSC's protected area is described in Annex A. If the Contractor engages a subcontractor, the Contractor shall initiate and oversee the admission of its personnel.

Section 6 **(Amended version, Revision No. 2)**

7 Documentation on the Arrangement of Construction and Installation Works and routine maintenance of buildings and structures

7.1 Prior to beginning of CIW on buildings and structures, the Contractor shall receive in CHD two sets of approved design and estimate documentation and other documents necessary for construction, as required by the regulatory technical documents governing the organization of construction operations. The design and estimate documentation shall be returned to the CHD upon completion of CIW, marked "Completed in accordance with the design," signed by the Contractor's manager (or a designated representative of the Contractor), and dated.

7.2–7.4 (Deleted, Revision No. 2)

7.5 Prior to the commencement of CIW or routine maintenance of buildings and structures, the Contractor shall develop a WPP. If the Contractor engages a subcontractor, the work shall be performed in accordance with the WPP developed by the Contractor.

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7.6 It is prohibited to carry out CIW or routine maintenance without an agreed-upon and approved WPP.

7.7 It is recommended that the WPP be developed in accordance with the Standard Work Plan Template. The structure and content of the Work Plan must comply with the requirements of RK SN1.03-00.

7.8 The Contractor shall develop the WPP within the timeframes established by the contract for the performance of work/provision of services and shall submit it via email (in MS Word format) to CHD for approval. The date of submission of WPP shall be the date of registration of the incoming document at UMP JSC.

7.9 Prior to approval of the WPP:

a) Upon request from SWC, CHD shall develop a route plan for the movement of the Contractor's vehicles and personnel (hereinafter referred to as the "movement plan") within the secured area of the UMP JSC industrial site, coordinate it with the IPD, and submit it to the Contractor for attachment to the WPP.

b) The head of SWC, together with the Contractor's manager, shall develop safety measures in duplicate for each party (a copy of the measures, which is an integral part of the WPP for the entire duration of the work, shall be attached to the WPP). The Contractor's manager shall appoint a person responsible for their implementation by means of an administrative document and shall specify this person in the WPP.

7.10 Approval of the WPP is conducted electronically via email within no more than two business days for each approval stage, in the following order:

a) First, the responsible WPP officer conducts the approval, verifying the completeness of the documented technological operations of the work being performed, the availability (if necessary) of a construction master plan and/or technological charts for individual operations; the number and professional qualifications of workers, as well as the completeness of the machinery, lifting equipment, tools, and fixtures necessary for performing the work;

b) Secondly, approval is carried out by the head of the SWC (for BO, TO, UO – the deputy director for technical preparation or the relevant shop foreman) and the head/specialist of the Occupational Safety and Environmental Protection Service, who verify:

- availability of utility areas within the unit, as well as the location and connection of the utility trailer on the territory assigned to the client unit;

- availability of storage areas for equipment, tools, supplies, and materials;

- availability of sites (areas) for temporary storage of construction debris and scrap metal;

- availability of connection points for equipment with the required power capacity;

- completeness of the documented occupational safety and environmental protection measures. If necessary, the work plan is adjusted to account for the hazards and risks posed by the activities of the client unit;

- work schedule;

- full compliance with the fire safety regulations established in the department.

c) Third, approval is granted by the Head of the Security Department, who verifies the completeness and adequacy of the requirements for the Contractor regarding compliance with access control procedures and measures to prevent unauthorized actions against the property of UMP JSC;

d) Fourth, approval is granted by the Director of Production Safety, who verifies the completeness of the measures outlined regarding environmental protection, occupational health and safety, industrial safety, and fire safety (including conditions for conducting hot work in the unit), the presence of contractor personnel who have completed basic fire safety training, as well as fire prevention measures and orders designating responsible persons.

7.11 The Head of SWC (in BO, TO, UO—Deputy Director for Technical Preparation or the relevant shop foreman) shall issue an order within the department designating the persons

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responsible for monitoring compliance with access control, industrial safety, and fire safety requirements during the Contractor's performance of work. A copy of the order shall be provided to the Contractor.

7.12 Comments shall be issued for the entire scope of the document under review once per review cycle. The Contractor is obligated to take all necessary measures to reduce the time required to address the comments. The duration of the process to address the comments falls within the Contractor's responsibility. After the Contractor submits the revised WPP incorporating the comments/suggestions, the approving parties shall verify that the issues have been addressed and approve the WPP.

7.13 The Contractor shall prepare the agreed-upon and approved WPP in two copies: one remains with the Contractor and is kept at the work site; the second is sent to CHD with a cover letter and a letter confirming that the Contractor's employees have been familiarized with Appendix A of this standard.

7.14 For projects requiring supervision by the State Architectural and Construction Supervision Authority, prior to the commencement of CIW, CHD shall notify the Contractor of the appointment of persons responsible for the organization and overall supervision of the work package, technical supervision, and design supervision, and shall send the Contractor a copy of the notice of commencement of CIW and its receipt slip.

7.5–7.14 (Added additionally, Revision No. 2)

Section 7 (Revised edition, Revision No. 2)

8 Work Permit for the Contractor. Introductory Briefing

8.1 OOSD carry out introductory briefing of the Contractor/subcontractor personnel in accordance with ST 14.0028 and enter the information about it to OSM SS.

After the briefing the Contractor/subcontractor employees obtain "Introductory Briefing Certificate" (Annex B). The Contractor/subcontractor employees provide this Certificate to access control department of Safety Department. Issuance of pass card to the Contractor/subcontractor personnel without "Introductory Briefing Certificate" shall not be allowed.

8.2 Prior to the commencement of contract work, the managers and specialists of the production units/departments/tenant designated by order and responsible for the safety of buildings and structures—whose operations take place directly within or are directly related to the operation of buildings, structures, or individual premises, as well as for the maintenance and cleaning of designated areas where such work is performed, shall conduct initial training and familiarization of the Contractor/subcontractor personnel, with signatures recorded on the familiarization sheet (form in Appendix B), and shall enter the following information into OSM SS (except for subcontractor personnel) regarding their familiarization with:

- This Standard;
- The requirements of the EMS and OH&S MS to be fulfilled by companies performing works on the Customer territory according to I 14.0025;
- UMP JSC Management Policy as well as UMP JSC Management Policy in the field of energy saving and energy efficiency improvement;
- The divisions' risk maps in the area of works performance and risk maps of the Contractor;
- Potential environmental aspects of their activity;
- Emergency response plan (if any in the division).

When new or revised safety standards, rules, or occupational health and safety (OHS) and fire safety (FS) instructions are implemented, unscheduled training sessions must be conducted for all

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employees of the Contractor/subcontractor. The Contractor/subcontractor personnel acknowledgment form must be kept together with the access permit (work permit).

8.1, 8.2 (Revised version, Revision No. 2)

8.3 Territory for works performance, buildings and structures (either their parts or separate sites) shall be transferred to the Contractor according to operations certificate or work permit executed in accordance with RD OH&S No. 14.024. Operations certificate (work permit) is a permission for CIW performance on area indicated in it.

SWC shall enter data on works start by the Contractor in OSM SS (works start date, operations certificate/work permit in tab “Contracting Companies”). The date of the first operations certificate/work permit shall be the date of the actual commencement of work.

8.4 Prior to the commencement of work, the head of the production unit, department, or tenant responsible for the buildings and structures (or their individual parts and premises) where CIW or routine maintenance of buildings and structures will be carried out shall, within three business days, issue an administrative order appointing a person to monitor the Contractor’s/subcontractor’s employees’ compliance with Occupational Safety Regulations and access and on-site security protocols at UMP JSC.

Person assigned by order over the division to be responsible for arrangement of hot works shall conduct FS briefing for the Contractor/subcontractor personnel according to OISD RD No. 14.006 and OISD RD No. 14.026.

8.3, 8.4 (Amended version, Rev. No. 1).

8.5 The head of the department, division, or facility where CIW or routine maintenance of buildings and structures is to be performed is required to verify that the Contractor’s or subcontractor’s employees authorized to perform welding and other hot work a work permit for high-risk operations, a permit for hot work, a qualification certificate (for welders), and a certificate confirming knowledge of fire safety in accordance with the minimum fire safety requirements.

Additionally, head of division, in whose premises or territory CIW will be performed, shall check the Contractor/subcontractor personnel for availability of certificates valid in the field of OS and OHS, and, if necessary, request protocols of knowledge assessment from the Contractor.

8.6 SWC Manager shall familiarize the Manager of the Contractor/subcontractor with the requirements for PPE when performing work in harmful and (or) hazardous working conditions. Manager of the Contractor/subcontractor shall provide the subordinate personnel with PPE and sets of spare workwear and boots, as well as, if necessary, collective protective equipment for protection against hazardous and harmful operation factors conditioned by operating production.

(Amended version, Rev. No. 1).

8.7 In case when changes planned to be performed in works area (change of works performance process, equipment modernization, development of new types of activity, etc.) will create new dangers, then head of division (or his representative) shall discuss the planned changes with the Contractor and subcontractor representative in timely manner and take necessary actions to ensure safe performance of the works.

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8.8 When performing earthworks on the territory allocated to the division, SWC shall issue for the Contractor/**subcontractor** representative a permit for earthworks executed in accordance with RD OH&S No. 14.024 additionally to operations certificate (work permit). The permit shall be issued right after determination of actual networks location (or their absence) and designation of networks route by their owner (signal tape, signs, spiles, fences, etc.), and networks located 15 m from each side of area intended for earthworks performance.

Earthworks permit shall be enclosed with extract from UMP JSC overall plan with accurate indication of earthworks boundaries and location of underground facilities and communications (communication networks, power supply networks, water supply pipelines, air conduits, sewer networks – Energy Center; physical facility protection networks – Physical Protection and Security Department, communication networks – SWC).

8.4–8.8 (Revised edition, Revision No. 2)

8.9 Subdivision – contract holder shall enter the corresponding data in OSM SS (“Notification of Contractor’s Works Completion” in tab “Contracting Companies”) no later than 5 business days after signing the Commissioning Certificate (Certificate of Performed Works) and completion of works under the Contract.

8.10 When conducting HW within the SWC, an access permit/work order must be issued for the Contractor’s or subcontractor’s personnel in accordance with Safety and Health Regulation No. 14.024.

(Revised version, Revision No. 2)

9 Responsibility of the UMP JSC’s and the Contractor’s Personnel for Occupational Safety

9.1 The Contractor’s Manager shall be responsible for:

- a) completeness and quality of occupational safety requirements development in WPP;
- b) compliance of its personnel **and subcontractor’s personnel** with occupational safety requirements;
- c) compliance of its personnel **and subcontractor’s personnel** with requirements of access and on-site modes when moving over the guarded territory (from ECP to works performance area and back);
- d) taking fire precautions, OHS, ES, OS and RS actions stipulated in WPP, provision of its personnel with firefighting means and PPE;
- e) control over compliance with the requirements of OHS, OS and RS by its own personnel **and subcontractor’s personnel** when carrying out CIW on sites transferred to the Contractor/**subcontractor** (access provided) according to operations certificate (work permit). The Contractor’s/**subcontractor’s** officials indicated by executors in operations certificate (work permit) shall be responsible for unfulfillment of actions on OHS, OS and RS stipulated in this document;
- f) performance of work on the territory of a radiation-hazardous facility of SWC to ensure radiation safety, both during the period of work and during the rest period; the specified measures shall be agreed with the Chief Physicist’s Department;
- g) causing environmental damage and failure to comply with established **RK** legislative requirements for the remediation of components of the natural environment;
- h) failure to comply with the requirements of **Code 2.1** in terms of conducting investigations into accidents and incidents to determine their causes and methods of prevention;
- i) investigation and recording of incidents, conducting audits at workplaces, conducting production control at hazardous production facilities in accordance with ST RK 12.0.003-2010 [7].

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9.2 The Customer's managers of divisions in whose premises or territory CIW are performed shall be responsible for:

- a) control over compliance with occupational safety requirements by the Contractor/subcontractor working on the Customer's territory;
- b) compliance of the Contractor's/subcontractor's personnel with requirements of access and on-site modes (Annex A). Control over compliance with these requirements shall be performed by persons assigned by order of the Customer's division manager, and copy of the order shall be sent to Physical Protection and Security Department;
- c) engagement of the Contractor's/subcontractor's personnel in participation in emergency training exercise or emergency response drill, which shall accurately meet the requirements of the Customer's division manager.

9.1, 9.2 (Amended version, Rev. No. 1)

9.3 Technical Supervision Bureau of Capital Construction & Maintenance Inspection Department shall monitor deadlines and quality of CIW or routine maintenance of buildings and structures.

9.1–9.3 (Revised edition, Revision No. 2)

9.4 Persons who violated the rules and requirements of occupational safety depending on the nature of violations and consequences shall be responsible in accordance with the applicable law of the Republic of Kazakhstan.

9.5 Responsibility for compliance with OHS requirements when operating machines, hand-hold electric and pneumatic equipment and tooling according to SN RK 1.03-05-2011 (Section 5.1.3) shall be laid on:

- the company on which balance they are available (for their technical state); the company manager specified in the contract (when transferring for the temporary use (lease));
- the company performing works (for fulfillment of the requirements of safe works performance).

9.6 In case of violation of safety requirements when operating electrical installations and electrical equipment used by the Contractor/subcontractor during CIW, responsibility shall lie on the Contractor and the Customer in accordance with the certificate of the balance ownership and operational responsibility of the parties.

9.7 Upon disclosure of violation of occupational safety requirements by the Contractor's/subcontractor's personnel, the responsible representative of the Customer's division shall have the right to cancel works and prepare STOP card (registered in OSM SS) until the violation is completely eliminated.

9.6–9.7 (Revised edition, Revision No. 2)

10 Procedure of the Environmental Aspects Identification during Performance of the Contracting Works at UMP JSC

10.1 "List of Primary Works/Services Performed by the External Contractors for UMP JSC" (hereinafter - the "List") and environmental aspects that can occur during performance of such works are given in Annexes D and E respectively.

(Revised edition, Revision No. 2)

10.2 The Customer's division on whose site or premises the Contractor will perform works shall review the completeness of the List of works (see Section 10.1), and assess the significance of the

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environmental aspects arising during performance of these works in accordance with the I 14.0010. A sample assessment list of the environmental aspects' significance is given in Annex F.

10.3 In case of a necessity to perform works not included in the List, the division shall identify the environmental aspects related to such works and make assessment of their significance.

10.4 When identifying a significant environmental aspect, the division on whose site or premises the contracting works are planned to be performed shall notify in writing the Customer's division which is responsible for contracting with the Contractor and establish requirements:

- To the level of competence of the Contractor's/subcontractor's employees performing works connected with the significant environmental aspect;
- By confirmation of the Contractor/subcontractor of the appropriate level of competence of employees performing works connected with the significant environmental aspect and maintaining the relevant records.

(Revised edition, Revision No. 2)

11 Procedure of Hazards Identification and OH&S Risks Assessment during Performance of the Contracting Works at UMP JSC

11.1 The Head of the division on which balance there are buildings and structures (or sites) where the contracting works will be performed shall instruct the working group of the division to make assessment of risks from the contracting works prior to doing such works together with the Contractor's representative and develop risk maps according to ST 14.0003.

11.2 Risks maps by the Contractor shall be communicated to the division's people in accordance with ST 14.0003.

11.3 If necessary, the working group representatives shall consult the Contractor's people on any issues related to OH&S MS applicable at UMP JSC.

12 Reporting and Evaluation of the Contractor's Actions in the Field of Occupational Safety

12.1 The procedure of reporting and evaluation of the Contractor's/subcontractor's activity in the field of occupational safety shall comply with that established in ST NAC 5.0.1-2024 (Section 7.2) and instruction OH&IS No. 18 24.

(Revised edition, Revision No. 2)

12.2 Head of SWC in whose premises or territory contracting works/services were performed, after signing Certificate of Commissioning (Certificate of Performed Works) and completion of works under contract, shall fill in and register "Contractor's Actions Evaluation Sheet" (Annex B ST NAC 5.0.1-2024) and "Conclusion on the Contractor Compliance with Requirements of Labor Protection, Occupational Safety and Environmental Protection" (Annex C ST NAC 5.0.1-2024) within 5 business days in the "Contractors" tab of the OSM SS. Table "Information on Contracting Companies Inspection" (Annex G) shall be filled in and sent to PSDO on a quarterly basis, before the 5th day of a month following the reporting quarter.

12.3 The Contractor shall send report "Information on Condition of Occupational Safety in Contracting Companies" (Section 5.1) to head of division – contract holder (CC&RD) no later

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than the 1st day of the month following the reporting month. CHD (unless it is SWC) forwards the received reports to SWC within 3 business days.

Before the 6th day of a month following the reporting quarter, head of SWC shall prepare and send to OISD the information on condition of occupational safety in contracting/subcontracting companies and inspections of contracting/subcontracting companies based on the provided reports.

12.1-12.3 (Amended version, Rev. No. 1)

12.4 Information on the results of the Contractors/subcontractors activity in accordance with ST NAC 5.0.1-2024 (Section 7.6) and Instruction on Labor Protection and Occupational Safety 18 22 AE (Section 5.3) shall be included in quarterly report directed to OISD of Occupational Safety Department of NAC Kazatomprom JSC before the 15th day of a month following the reporting period.

12.5 Specialists of OH and ES divisions where the Contractors/subcontractors perform their work, shall carry out inspections of the Contractor personnel compliance with occupational safety requirements at least once a week. The certificate shall be based on the inspection results in form according to Annex H, and registered in OSM SS (“Contractors” tab) within 5 calendar days, enclosed with electronic version.

In case if at the moment of the inspection the Contractor’s/subcontractor’s personnel are absent, the inspection carrying out fact shall be registered in OSM SS (“Contracting Companies”, “Inspections” tab), at that, the line “Report” shall be filled in with words “Contractor absence”.

12.3–12.5 (Revised edition, Revision No. 2)

12.6 Inspections of compliance of the requirements of occupational safety by the Contractor personnel shall be carried out by types of performed works stated in RD OH&S No.14.024 and ST NAC 5.0.6-2023 (Section 1.2).

12.7 It is allowed not to carry out inspections of the Contractors performing:

- works, according to Section 7.4 hereof;
- works on design of manufacturing facilities, containment and elimination of accidents, emergencies, fire-fighting and rescue operations;
- one-time (short-term) works.

13 Procedure of Delivery and Acceptance of Performed Works

The procedure for acceptance of work performed is determined by the type of activity (CIW, design and survey work, inspection of buildings and structures, etc.):

- according to the legislation of the Republic of Kazakhstan;
- according to the regulatory documents of the Republic of Kazakhstan;
- according to the terms of the contract;
- according to ST 28.0011 Procedure for Commissioning of Facilities;

Section 13 (Revised version, Revisions Nos. 1, 2)

14 Anti-Corruption

When implementing this Standard:

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- UMP JSC employees are required to comply with the requirements of the Law of the Republic of Kazakhstan “On Anti-Corruption” and UMP JSC’s Policy on Anti-Corruption and Fraud Prevention;
- Contractor employees are required to comply with the requirements of the Law of the Republic of Kazakhstan “On Anti-Corruption” and the Code of Ethics for Suppliers of UMP JSC.

Section 14 (**Added additionally, Rev. No. 1**)

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Annex A
(mandatory)
Requirements of Access and On-Site Modes
for Admission and Stay of the Contractor's Employees at UMP JSC Facilities
(see Section 6, par. 9.2 of this Standard)

A.1 Requirements for Admission to UMP JSC Facilities

A.1.1 The Contractor's management (provided that the contract with UMP JSC has been signed) should send a letter addressed to **the Head** of the contract-holding department, specifying the person responsible for obtaining the visitor pass, duration of the visit, and the name of the facility,, on which basis the **CHD** shall prepare a letter for the Head of the Physical Protection & Security Department (PPSD) in accordance with **I 19.0008 or I 19.0010**. The letter shall be accompanied by copies of the identity cards of the Contractors' employees, copies of the regional drug dispensary certificates, certificates issued by the Eastern Kazakhstan state psychoneurological dispensary, certificates issued by the Department of the Legal Statistics and Special Records Committee of the General Prosecutor's Office of the East Kazakhstan region of the Republic of Kazakhstan which confirm the absence of medical drifts and criminal records. The original copies of the certificates shall be submitted to PPSD for admission and be dated at least 1 month before access to the Customer facilities (access for foreign citizens to the Customer facilities shall be provided in accordance with I 18.0023). If it is necessary to admit transport, it is required to present the transport registration certificate and also a document confirming the right to drive this transport (driver's license, power of attorney, insurance certificate, travel sheet, etc.). In addition, the Contractor's letter shall necessarily be accompanied by the Familiarization Sheet for the Contractors' employees to prove that they have studied the requirements contained herein.

The Contractor's management shall update the above certificates every 3 months in case of long-term works.

If there is no Subcontract between the Customer and the Contractor, movements of the Contractor's employees through the ECP and the Customer territory shall be allowed for a short time period (up to 10 business days) provided they are accompanied by the Customer employees.

If there is a signed Subcontract, the Contractor's employees and road transport shall move through the protected area according to the routing scheme enclosed to the works performance project. The routing scheme for the movement of the Contractor's employees and vehicles within the protected area shall be made by the CC&RD and necessarily agreed with the Head of the Information Protection Department and Physical Protection & Security Department. It is not allowed to deviate from the agreed route.

(Revised edition, Revisions Nos. 1 and 2)

A.1.2 Prior to obtaining the right of access to the Customer site, the Contractor's management shall inform its employees (against their personal signature) of these requirements of access and on-site modes and send the Familiarization Sheet to the Head-holder of the Subcontract.

A.1.3 In exceptional cases, with appropriate justification, work on weekends shall be allowed. To get an access to the protected area on weekends, the Contractor's management shall send a letter to **the Head of the contract-holding** department with a list of workers who need access to the site, indicating works performance time and facility name, to UMP JSC's Technical Preparation Director. If the Contractor's arguments are agreed, **contract-holding department** shall send

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application to the Head of PPSD, and if disagreed, they shall send an appropriate response to the Contractor.

(Revised edition, Revision No. 2)

A.2 Requirements for the Contractor’s Employees when Staying at the UMP JSC’s Sites

A.2.1 The Contractor’s employees shall not be allowed to carry (transport) through the ECP of the UMP JSC’s sites the following items and substances without any authorization:

- a) Weapons (firearms; tuneless weapon with cartridges of traumatic, gas and light-sound action; cold weapon, as well as knives of various types that are not related to cold weapons; throwing, pneumatic, gas, electric or signal weapon; weapon with destructive effect based on the use of radioactive radiation and biological effects; weapon with destructive effect based on the use of electromagnetic, light, thermal, infrasonic or ultrasonic radiation);
- b) Substances (explosive, toxic, poisonous, radioactive, caustic, pyrotechnic, flammable);
- c) Alcoholic drinks, narcotic and psychotropic drugs;
- d) Portable communication devices (cell and satellite communication devices, portable radio stations);
- e) Video photographing devices and related accessories (devices for video or photo shooting of any format), audio equipment, smart watches with video-photography function;
- f) Laptop computers and accessories (portable computers);
- g) External storage media (magnetic, electronic and optical storage devices, paper storage media) in the absence of the “N/A” code stamped on the back of the copy of the ID card for the electronic pass;
- h) Personal use items in the amount of more than two of the same type except for personal hygiene items;
- i) Large bags and packages. It is allowed to carry personal things and food in easily visible bags and small packages which could be inspected (visually) at the Company’s ECP;

To obtain authorization to carry the above things and substances to the UMP JSC’s sites, the Contractor’s Manager shall send a relevant letter to the UMP JSC’s Technical Preparation Director or another Manager-holder of the Subcontract.

A.2.2 The Contractor’s employees shall not be allowed to:

- a) Be in alcohol (drug) intoxication on the premises;
- b) Movement in the categorized buildings is regulated by the UMP JSC’s additional instructions;
- c) Visit divisions and sites not specified in the application;
- d) Hand over an electronic pass card (except for the persons responsible for handing pass cards over to the pass office and to the RK National Guard people at the ECPs during identification) or communicate the personal pass code to other persons;
- e) Drive with the expired (invalid) insert to the electronic pass card which gives the right to move through the truck ECPs of the UMP JSC’s peripheral facilities;
- f) Stay for more than 1 hour after the end of working hours at the sites.

A 2.3 Workers and visitors entry (transport) through ECPs to the UMP JSC’s protected area (including the internal and especially important area) shall be carried out using electronic pass cards. The pass card is a document that gives the right of access through the designated points of the access control management system (hereinafter – “ACMS”) only to its owner.

Note: The ECP guard shall have the right to temporarily withdraw the pass card from its owner (visitor) for more detailed identification of the person.

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A.2.4 Procedure of workers and visitors entry through pedestrian ECPs.

Contractor's employee (visitor) **only through the specified checkpoints** when coming up to one of the turnstiles shall put the electronic pass card for reading by the ACMS; when the traffic light turns green, he/she shall enter the turnstile cabin and dial an individual PIN code on the panel. Then he/she shall go through the stationary detector to the area of the ECP guard and present the electronic pass card for identification by the guard. The next worker (visitor) shall go through the stationary detector to the area of the ECP guard after the identification and examination of the previous person.

When the sound signal of the stationary detector is "triggered" when metal items are brought in, an employee shall return back to ECP guard (controller) pass area for a more detailed examination.

When leaving through the boundaries of the protected areas, an employee (visitor) when coming up to the pass area of the ECP guard (controller) shall present an electronic pass card for person identification. After person identification and examination, the employee shall place the available metal items on the table (standing next to fixed stationary) and go through the frame of the stationary detector with his/her personal things on hand. If the sound signal of the stationary detector is "triggered" indicating presence of nuclear material or metal items, the employee shall return back to the pass area of the guard (controller) for more detailed examination. Exit of the employee through the turnstile shall be carried out in the same manner as the entry.

When an employee/visitor goes through the identification and examination procedure, the next person shall stay at a distance of at least 1m from the pass area of the ECP guard (controller) and enter the pass area only after the person in front has completed the examination through the frame of the stationary detector.

It is allowed to carry food in easily visible bags and small packages, which can be examined at the Company's ECP.

All personal items and substances prohibited from being brought into the protected territory of UMP JSC (cellular and satellite communication devices, portable radios, video and photography equipment and accessories for them, devices for photo and video filming of any format, audio equipment, laptop computers and accessories to them, external storage media - magnetic, electronic and optical information storage devices, paper storage media, items for personal use in quantities of more than two of the same type, except for personal hygiene items, large bags and packages) shall be handed over to storage rooms located in the buildings of ECP-1,2. When accepting items into the storage room, the storage room attendant shall inspect the items.

(Revised edition, Revision No. 2)

A.2.5 Procedure of road transport entry through transport ECPs to/from the UMP JSC's protected area.

Road transport shall enter the ECP and stop in the examination area with the engine "turned off"; the driver shall present an electronic pass card to the ECP guard. The ECP guard shall read the provided pass card into the ACMS to identify the holder of the pass card by photo. Then the ECP guard shall examine the transport and persons inside to detect the available prohibited items and materials.

At the exit from the Customer's protected area during the examination (inspection) all people shall leave the transport.

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Procedure and duration of the transport and people examination (inspection) shall be determined by the ECP guard. In normal situation the examination (inspection) of vehicle shall not last more than 4 min. If a complete examination (inspection) is necessary by the decision of the facility commandant, the transport shall be moved to a specialized examination area. Duration of the transport complete examination (inspection) shall be determined by the ECP guard depending on the complexity of the examination (inspection) and other circumstances. The facility commandant (the commandant's assistant on duty) shall inform the Physical Protection & Security Department of the complete examination (inspection) required.

The transport shall start moving when leaving the ECP examination area only with the permission of the ECP guard and only if the gate is fully opened for leaving the territory or the barrier is fully raised for entering the territory.

A.3 Procedure of Carrying (Transporting) of the Contractor's Property

A.3.1 To carry (transport) the Contractor's property through the ECP to the UMP JSC's territory (with necessary subsequent removal from the protected area), a person responsible for this property shall draw up temporary single-entry permit in advance in the pass office in accordance with Appendix C of I 19.0003. Execution and registration of temporary single-entry certificate in the pass office shall be carried out only on weekdays from 08:00 a.m. till 04:45 p.m. (except for a one-hour lunch break) based on the appropriate written application signed by the Contractor's Manager and agreed with the **Head of CHD** (or the official of the division which manager is the holder of the Subcontract). Carrying (transportation) of property under one-time temporary import (entry) certificates issued and registered at the **Pass and Registration Office** is carried out during business hours, since the Pass and Registration Office—which operates from 8:00 a.m. to 4:45 p.m.—applies the appropriate stamp when the property is removed, subject to the document's validity period. The validity period of a one-time temporary import (entry) permit is 1 year. The validity period of the permit may be extended provided that the term of the Contract is extended. The processing of the removal (export) of property at the pass office is permitted after the **head of the CHD** has signed the reverse side of the temporary entry (import) certificate. **In case of repeated visits to UMP JSC's site, the Contractor's automotive and tractor equipment must have an inventory of property drawn up in accordance with Appendix K, which includes: tools (equipment) necessary for the operation of said equipment (wrenches, jack, slings, canisters, fire extinguisher, first aid kit, etc.). The inventory shall be signed by the Contractor's manager and approved by the head of the Security and Access Control Department.**

Removal (**conveyance**) of part of the property is permitted provided that the temporary entry (import) report contains a note from a pass office employee indicating the entry number in the report and the date of removal (**conveyance**). Upon removal (**conveyance**) of the property, the checkpoint guard shall sign each line of the item being removed.

(Revised edition, Revisions Nos. 1 and 2)

A.3.2 Carrying (transporting) of the property to the territory of base warehouses, fuels and lubricants storage facilities and the tailing facility shall be carried out by recording the identification details in log of imported property located at the ECP controller. Information entered to the log shall be confirmed by signatures of the ECP controller and a person carrying (transporting) property, and also it shall be sufficient to identify the property when removing through ECPs of base warehouses, fuels and lubricants storage facilities, tailing facility, Car Fleet-1, Car Fleet-2 and Nitrogen-Hydrogen-Oxygen station.

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A.3.3 Construction waste produced from Contractor's works shall be removed according to the pass for the materials or the bill of materials registered at the pass office. The pass for the materials or the bill of materials shall be issued by the division which owns the construction waste. The Contractors shall provide conditions that allow the ECP controllers performing visual inspection of goods without any contact with them and without use of any additional PPE during delivery of goods to the ECP. In case of impossibility to meet these requirements, the management of the division where the contracting works/services are performed should send application well in advance (one day before) to the Security Department to control loading of goods to be removed from the site.

A.3.4 The Contractor's employees shall be personally responsible for damage/loss of the Customer property (including the electronic pass card).

A.3.5 The Contractor's employees shall be personally responsible for violation of the access and on-site modes.

Access for the Contractor's employees to the UMP JSC's sites who outraged of the access and internal security regime or who were arrested for an attempt to steal nuclear materials and inventories shall be cancelled until facts have been found.

Note: Outrage means violations of legislative requirements and rules and regulations of the Republic of Kazakhstan or UMP JSC regulatory documents.

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Annex B
(mandatory)
Form of Introductory Briefing Certificate
(see Section 8.1 of this Standard)

Introductory Briefing Certificate

Employee's full name	
Name of the Contractor	
Position/Occupation	

Briefing is conducted by _____
position
signature
full name
date

Annex C
(mandatory)
Form of Familiarization Sheet
(see Section 8.2 of this Standard)

FAMILIARIZATION SHEET

of employees of the _____,
Name of Company
 who have studied the documents _____
Name of document(-s)

Item	Full name	Date	Signature

Manager's position
signature
full name
date

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Annex D

(informative)

List of Primary Works/Services** Performed for UMP JSC by the Contractors**

(see Section 10.1 of this Standard)

1. Construction and installation works (including capital repair of buildings and structures; earth and special ground works; preparatory works related to the demolition of existing buildings and structures with the installation of temporary engineering networks, roads, storage areas as well as the vertical planning of territories; construction of load-bearing and (or) enclosing structures of buildings and structures (including bridges, transport overpasses, tunnels and subways, overpasses, other artificial structures); special construction and installation works for linear structures; installation of external engineering networks and structures as well as internal engineering systems; works for protection structures and equipment; construction of roads and railways; installation (dismantling) of process equipment, and set-up and start-up works).
2. Transportation of passengers and goods by road.
3. Engineering and geological surveys.
4. Remelting carbon structural steel.
5. Survey of buildings and structures.
6. Current repairs of buildings and structures.

Annex E
(informative)

**List of Environmental Aspects Occurring from Works Performed for UMP JSC
by the External Contractors**
(see Section 10.1 of this Standard)

Item	Production process, operation	Department, division, building	Involved employees (profession, position)	Environmental aspect	Impact	Condition of occurrence	Regulatory requirements
1	Construction & installation works	UMP JSC's divisions	Contractor's employees	Pollutant emissions	Air contamination, respiratory organs impact	NC	[1], [3], [6], Env. contamination restrictions
				Solid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Land disturbance		Env. contamination restrictions
				Metal scrap generation	Natural resources depletion		-
				Metal scrap collection and delivery	Natural resource saving		-
				Pollutant emissions (incineration product)	Air contamination, respiratory organs impact	Incident: inflammation	[1], [3], [6], Env. contamination restrictions
				Solid and liquid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Land disturbance		[2], Env. contamination restrictions
				Pollutant emissions	Air contamination, respiratory organs impact		[1], [3], [6], Env. contamination restrictions
				Natural resources consuming	Natural resources depletion		[5]

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Item	Production process, operation	Department, division, building	Involved employees (profession, position)	Environmental aspect	Impact	Condition of occurrence	Regulatory requirements
2	Transportation of people and goods by road	Territory inside and outside of UMP JSC	Driver, people accompanying goods	Pollutant emissions (exhaust gas)	Air contamination, respiratory organs impact	NC	[1], [3], [4], [5], Env. contamination restrictions
				Pollutants discharge	Soil and rain water contamination		[4], [2], Env. contamination restrictions
				Solid waste generation	Soil contamination	Incident: inflammation	[2], Env. contamination restrictions
				Goods spillage	Soil contamination		[2], Env. contamination restrictions
				Pollutant emissions (incineration product)	Air contamination, respiratory organs impact	Incident: inflammation	[1], [3], [6], Env. contamination restrictions
				Solid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Soil contamination		[2], Env. contamination restrictions
3	Site survey	Territory inside and outside of UMP JSC	Driver, people accompanying goods	Pollutant emissions (exhaust gas)	Air contamination, respiratory organs impact	NC	[1], [3], [4], [6], Env. contamination restrictions
				Pollutants discharge	Soil and rain water contamination		[4], [2], Env. contamination restrictions
				Pollutant emissions (incineration product)	Air contamination, respiratory organs impact	Incident: inflammation	[1], [3], [4], Env. contamination restrictions
				Solid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Soil contamination		[2], Env. contamination restrictions
4	Remelting carbon steel	UMP JSC's divisions	Third party personnel	Pollutant emissions	Air contamination, respiratory organs impact	NC	[1], [3], [6], Env. contamination restrictions

Item	Production process, operation	Department, division, building	Involved employees (profession, position)	Environmental aspect	Impact	Condition of occurrence	Regulatory requirements
				Solid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Land disturbance		Env. contamination restrictions
				Metal scrap generation	Natural resources depletion		-
				Metal scrap collection and delivery	Natural resource saving		-
				Pollutant emissions (incineration product)	Air contamination, respiratory organs impact	Incident: inflammation	[1], [3], [6], Env. contamination restrictions
				Solid and liquid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Land disturbance		[2], Env. contamination restrictions
				Pollutant emissions	Air contamination, respiratory organs impact		[1], [3], [6], Env. contamination restrictions
				Natural resources consuming	Natural resources depletion		[5]
5	Survey of buildings and structures	UMP JSC's divisions	Third party personnel	Pollutant emissions	Air contamination, respiratory organs impact	NC	[1], [3], [6], Env. contamination restrictions
				Solid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Land disturbance		Env. contamination restrictions
				Metal scrap generation	Natural resource depletion		-
				Metal scrap collection and delivery	Natural resource saving		-
				Pollutant emissions (incineration product)	Air contamination, respiratory organs impact	Incident: inflammation	[1], [3], [6], Env. contamination restrictions
				Solid and liquid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Land disturbance		[2], Env. contamination restrictions

Item	Production process, operation	Department, division, building	Involved employees (profession, position)	Environmental aspect	Impact	Condition of occurrence	Regulatory requirements
6	Current repairs of buildings	UMP JSC's divisions	Third party personnel	Pollutant emissions	Air contamination, respiratory organs impact		[1], [3], [6], Env. contamination restrictions
				Natural resources consuming	Natural resource depletion		[5]
				Pollutant emissions	Air contamination, respiratory organs impact	NC	[1], [3], [6], Env. contamination restrictions
				Solid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Lands disturbance		Env. contamination restrictions
				Metal scrap generation	Natural resource depletion		-
				Metal scrap collection and delivery	Natural resource saving		-
				Pollutant emissions (incineration product)	Air contamination, respiratory organs impact	Incident: inflammation	[1], [3], [6], Env. contamination restrictions
				Solid and liquid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Land disturbance		[2], Env. contamination restrictions
				Pollutant emissions	Air contamination, respiratory organs impact		[1], [3], [6], Env. contamination restrictions
				Natural resources consuming	Natural resource depletion		[5]

Annex F
(informative)

Sample List of Assessment and Significance of Environmental Aspects Occurring during Process Equipment Installation
(see Section 10.2 of this Standard)

Item	Production process, (operation)	Division, department, building	Environmental aspect	Impact	Condition of occurrence	Impact criteria and assessment								Impact value	Impact significance	Impact mitigation factor	Significance value (SV)	Event probability	Impact significance	Risk mitigation factor	Risk value (RV)		
						Regulatory requirements	Concerned parties opinion	Environmental impact	Gross emissions of pollutants (waste)	Harm to the health	Payments and potential penalties	Survey costs	Best accessible technology									Process equipment state	
1	Construction & installation works	Divisions of UMP JSC	Pollutant emissions (welding aerosol)	Air contamination	NC	10	10	5	5	5	5	5	5	10	60	2	25%	90	-	-	-	-	
			Solid waste generation, its placement and disposal at the Tailing Storage Facility of the Service Center	Land disturbance	NC	5	5	5	5	5	5	5	5	5	5	45	2	20%	72	-	-	-	-
			Metal scrap generation	Natural resource depletion	NC	10	10	5	5	5	5	5	5	5	5	55	2	15%	93.5	-	-	-	-
			Metal scrap collection and delivery	Natural resource saving	NC	10	10	5	5	5	5	5	5	5	5	55	2	15%	93.5	-	-	-	-
			Pollutant emissions (incineration product)	Air contamination	Incident: inflammation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	2	40%

Annex H
(informative)
Form of Certificate of Inspection of the Contractor
(see Section 12.5 of this Standard)

Certificate No. __
of Inspection of the Contracting Company

Date: _____
Time: _____
Customer (shop, division): _____
Contracting company name: _____
Description (type) and place of works performance _____
Inspector(s) name and position: _____

Basic requirements		Availability/Compliance*		Remarks**
		Yes	No	
1	Briefing (initial at the working place)			
2	Availability of the permit for the works of higher danger			
	Accuracy of the Work Permit (if any)			
3	Availability if the valid qualification certificate			
4	Individual protective means (if any): Hands protective means (gloves, mittens, etc.) Availability			
	Use			
	Eyes protective means (face mask, glasses, etc.) Availability			
	Operational condition			
	Use			
	Special boots Availability			
	Operational condition			
	Use			
	Special clothes Availability			
	Operational condition			
	Use			
	Electrical protective means Availability			
	Operational condition			
	Use			
	Ears protective means Availability			
	Operational condition			
	Use			
	Respiratory protective means Availability			
	Operational condition			
	Use			
	Safety helmet Availability			
	Operational condition			
	Use			

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5	Equipment, devices and tools: Availability			
	Operational condition			
6	Working place fencing			
7	Availability of the permit for hot works			
	Fire extinguishing means on site: Availability			
	Operational condition			
	Is hot works area fenced?			
8	Protective means for working at heights (if necessary): Availability			
	Operational condition			
	Use			
9	Lifting equipment and machines: Consistency of removable load-gripping devices			
	Availability of the registration plate with the inspection dates			
	Availability of logbook and related records			
	No oil leaks on lifting equipment			
10	Are posters / safety signs posted?			
11	Works are performed in accordance with: Works performance project			
	Process diagrams			
	Instructions for occupational health and safety			
12	Performance of works in accordance with the requirements of EMS and OH&S MS for the companies operating on the territory of UMP JSC:			
	Performance of works in accordance with the legislation of the Republic of Kazakhstan in the field of occupational safety			
	Compliance with the requirements of the plant-wide instruction for OHS			
	Compliance with the requirements of the sections "Environmental Protection" and "Environmental Impact Assessment" in the implementation of construction and installation works according to the approved design documentation provided to the Customer			
	Compliance with the requirements of general OHS instruction for Beryllium Operations - for the Contractor's employees involved in the performance of works in the production buildings of Beryllium Operations			
	Compliance with the requirements of the radiation safety instruction - for the Contractor's employees involved in the performance of works in the production buildings of Uranium Operations			
	Collection and disposal of waste produced from the Contractor's production activities in specially designated places			
	Prevention of spillage and leakage of fuels and lubricants, paints and varnishes and other hazardous and harmful chemicals			
	Cleaning of premises and territory after completion of works			
	Economical use of energy and water			
	Prevention of discharge of oil products and other liquid waste containing pollutants to the ground and into the wells of storm sewers			
	Cleaning of soil, storm drains, sidewalks, roads and premises in case of unintentional ingress of fuels and lubricants, paints and varnishes and other harmful chemicals, liquid waste containing pollutants, solid waste and other debris generated in the course of works			
	Use of serviceable motor vehicles (cars, tractors, loaders, compressors and other mechanisms) that have passed technical inspection			
	Compliance with the labor regulations having effect at UMP JSC			
13	Unsafe works have been stopped by			

* Describe violation(s) below the Table in case if it is impossible to put them into the Table.
 ** Indicates actions to eliminate the identified violation, or measures taken during the audit, or "Actions are not required."

Violation(s) description:

1. _____
2. _____
3. _____

Job title	signature	full name	date
			20__.
Job title	signature	full name	date
			20__.
Job title	signature	full name	date
			20__.

Received by (the Contractor's representative)

Job title	signature	full name	date
			20__.

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Annex I (**Introduced additionally, Revision No. 2**)

List of References

- [1] Environmental Code of the Republic of Kazakhstan No. 400-VI dated January 02, 2021
- [2] Land Code of the Republic of Kazakhstan No. 442-II dated June 20, 2003
- [3] Labor Code of the Republic of Kazakhstan No. 414-V dated November 23, 2015.
- [4] Water Code of the Republic of Kazakhstan No. 178-VIII, **dated April 09, 2025.**
- [5] Code of the Republic of Kazakhstan On Subsoil and Subsoil Use No. 125-VI dated December 27, 2017.
- [6] OHS No. 14.001. Company-Wide Occupational Health and Safety Instruction for the UMP JSC employees.
- [7] ST RK 12.0.003-2010. Occupational Safety Standards System. Occupational Health and Safety Management System in an Organization. General Requirements for Development, Application, Assessment and Improvement.

List of references (**Revised edition, Revisions 1 and 2**)